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Bib Data Sheet

CONFIRMATION NO. 5423

<b>SERIAL NUMBER</b> 09/995,178	<b>FILING DATE</b> 11/27/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2161	<b>ATTORNEY DOCKET NO.</b> P-M B-01
<b>APPLICANTS</b> Michael D. Bergmann, Bow Mar, CO; Daniel Yoo, Aurora, CO;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/253,918 11/29/2000 <i>HTD</i>				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED.. SMALL ENTITY ..</b> ** 12/17/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature <i>HTD</i> Initials	<b>STATE OR COUNTRY</b> CO	<b>SHEETS DRAWING</b> 3	<b>TOTAL CLAIMS</b> 5	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> 28784				
<b>TITLE</b> Method of ascertaining an efficient frontier for tax-sensitive investors				
<b>FILING FEE RECEIVED</b> 370	<b>FEES:</b> Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	